The month of July saw approximately 3,237 Form D filings with the Securities Exchange Commission (SEC). Approximately 1,869 or just under 58% of those filing were new filings, with the remainder as amendments. The three (3) top industries for filings were Pooled Investment Funds, Finance (banking, other banking & investment) and technology companies.

Equity offerings accounted for approximately 56% of the offerings, and Pooled Investment Funds accounted for approximately 34% of the filigs.

Investment banks were listed as receiving sales commissions on approximately 460 or approximately 14% of the offerings. Of those 460 offerings, Pooled Investment Funds accounted for 287.

Form D Report - July 2017

	#	%
Total Filings	3,237	
New Filings	1,869	57.7%
Amendments	1,368	42.3%

Industry Groups

	#	%
Agriculture	16	0.5%
Biotech	74	2.3%
Business Services	16	0.5%
Commercial	141	4.4%
Commercial Banks	13	0.4%
Computers	17	0.5%
Investing	35	1.1%
Oil & Gas	38	1.2%
Other Banking	318	9.8%
Other Energy	24	0.7%
Other R/E	108	3.3%
Other Tech	322	9.9%
Pharmaceuticals	16	0.5%
Pooled Inv. Fund	1,668	51.5%
REITS	26	0.8%

Type of Offering

	#	%
Debt	206	6.4%
Equity	1,806	55.8%
Equity & Debt	0	0.0%
Pooled Inv. Fund	1,104	34.1%
Not Listed	97	3.0%

Investment Bank Listed

	#	%
Overall	460	14.2%
Pooled Inv. Fund	287	17.2%

Coral Capital Partners, an independent consulting and advisory firm focused on companies and participants in the lower and middle markets. Coral Capital Partners, Inc. provides services to Investment Banks, Private Equity Funds, investors, and both privately held and publicly traded companies, as well as various stakeholders in those organizations. Please feel free to visit our web site at: www.coralcapital.com or call our offices via. telephone # (404)-816-9220 to see how we may be of assistance.

2030 Powers Ferry Road Suite # 212 Atlanta GA. 30339 (404)-816-9220, Fax (404)-816-8830